



# B D SHAH SECURITIES LIMITED

## Investor Complaints Data

BSE Clearing No.044 : SEBI Reg.NO. INZ000200338

NSE Member ID-09934 : SEBI Reg.NO. INZ000200338

Depository Participant ID – 21700 : SEBI Reg.NO. IN-DP-148-2015

Data for Month Ending **Aug-2025**

| SN | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved | Pending at the end of the month** |                                | Averagae Resolution time(in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|----------|-----------------------------------|--------------------------------|-----------------------------------|
|    |                         |                                     |                           |               |          | pending for less than 3 months    | Pending for more than 3 months |                                   |
| 1  | 2                       | 3                                   | 4                         | 5             | 6        | 7                                 |                                | 8                                 |
| 1  | Directly from Investors | NIL                                 | NIL                       | NIL           | NIL      | NIL                               | NIL                            | NIL                               |
| 2  | SEBI (SCORES 2.0)       | NIL                                 | NIL                       | NIL           | NIL      | NIL                               | NIL                            | NIL                               |
| 3  | Depositories            | NIL                                 | NIL                       | NIL           | 1        | NIL                               | NIL                            | 1                                 |
| 4  | Stock Exchange          | NIL                                 | NIL                       | NIL           | NIL      | NIL                               | NIL                            | NIL                               |
| 4  | Other Sources (if any)  | NIL                                 | NIL                       | NIL           | NIL      | NIL                               | NIL                            | NIL                               |
| 5  | <b>Grand Total</b>      | 0                                   | 0                         | 0             | 1        | 0                                 | 0                              | 1                                 |

### Trend of Monthly disposal of complaints

| SN | Month              | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------------|-------------------------------------|----------|-----------|-----------|
| 1  | 2                  | 3                                   | 4        | 5         | 6         |
| 1  | Sep-24             | NIL                                 | 1        | 1         | 0         |
| 2  | Oct-24             | NIL                                 | NIL      | NIL       | NIL       |
| 3  | Nov-24             | NIL                                 | NIL      | NIL       | NIL       |
| 4  | Dec-24             | NIL                                 | NIL      | NIL       | NIL       |
| 5  | Jan-25             | NIL                                 | NIL      | NIL       | NIL       |
| 6  | Feb-25             | NIL                                 | NIL      | NIL       | NIL       |
| 7  | Mar-25             | NIL                                 | NIL      | NIL       | NIL       |
| 8  | Apr-25             | NIL                                 | NIL      | NIL       | NIL       |
| 9  | May-25             | NIL                                 | NIL      | NIL       | NIL       |
| 10 | Jun-25             | NIL                                 | NIL      | NIL       | NIL       |
| 11 | July-25            | NIL                                 | NIL      | NIL       | NIL       |
| 12 | Aug-25             | NIL                                 | NIL      | NIL       | NIL       |
|    | <b>Grand Total</b> | 0                                   | 1        | 1         | 0         |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of compliants

| SN | YEAR               | Carried forward from previous year | Recived during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|-------------------------|--------------------------|--------------------------------|
| 1  | 2021-22            | NIL                                | NIL                     | NIL                      | NIL                            |
| 2  | 2022-23            | NIL                                | 1                       | 1                        | NIL                            |
| 3  | 2023-24            | NIL                                | 1                       | 1                        | NIL                            |
| 4  | 2024-25            | NIL                                | 1                       | 1                        | NIL                            |
| 5  | 2025-26            | NIL                                | NIL                     | NIL                      | NIL                            |
|    | <b>Grand Total</b> | NIL                                | 3                       | 3                        | NIL                            |