



B D SHAH SECURITIES LIMITED

Investor Complaints Data

BSE Clearing No.044 : SEBI Reg.NO. INZ000200338

NSE Member ID-09934 : SEBI Reg.NO. INZ000200338

Depository Participant ID – 21700 : SEBI Reg.NO. IN-DP-148-2015

Data for month ending **Nov-2024**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^(in days)
						pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Depositories	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of Monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	SEP-23	NIL	NIL	NIL	NIL
2	OCT-23	NIL	NIL	NIL	NIL
3	NOV-23	NIL	NIL	NIL	NIL
4	DEC-23	NIL	NIL	NIL	NIL
5	JAN-24	NIL	NIL	NIL	NIL
6	FEB-24	NIL	NIL	NIL	NIL
7	MAR-23	NIL	NIL	NIL	NIL
8	APR-24	NIL	NIL	NIL	NIL
9	MAY-24	NIL	NIL	NIL	NIL
10	JUN-24	NIL	NIL	NIL	NIL
11	JULY-24	NIL	NIL	NIL	NIL
12	AUG-24	NIL	NIL	NIL	NIL
13	SEP-24	NIL	1	1	0
14	OCT-24	NIL	NIL	NIL	NIL
15	NOV-24	NIL	NIL	NIL	NIL
	Grand Total	0	1	1	0

Should include total Complaints pending as on the last day of the month, If any.

Average resolution time is the sum total of time taken to resolve each Complaint in the current month divided by total number of Complaint resolved in the current month.

Trend of annual disposal of compliants

SN	YEAR	Carried forward from previous year	Recived during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	1	1	NIL
7	2023-24	NIL	1	1	NIL
8	2024-25	NIL	1	1	NIL
	Grand Total	NIL	NIL	NIL	NIL