**<u>B. D. SHAH SECURITIES LTD</u>**.



Depository Participant of Central Depository (I) Ltd. 102/B, Charmee Enclave, Off Shraddhanand Road, Vile Parle-E, Mumbai-400 057. Ph. No: 022 26101817/26108942

Depository Participant ID: 12021700 SEBI REG NO.: IN-DP-CDSL-128-2000



|   | n  | Transı   | missio          | 1-Cum             | -Den                               | naterial | izatio    | า โ    | Гran     | spositi | ion-C         | um-I  | Dema     | teriali | zation |    |  |  |
|---|--|----------|-----------------|-------------------|------------------------------------|----------|-----------|--------|----------|---------|---------------|-------|----------|---------|--------|----|--|--|
| DRN NO.   |  |          |                 |                   |                                    |          | Date      |        |          |         |               |       |          |         |        |    |  |  |
| DRF NO.   |  |          |                 |                   |                                    |          | Date      |        |          |         |               |       |          |         |        |    |  |  |
| (To be filled   |  |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         | nd     |    |  |  |
| Locked- ins   |  |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         | +      |    |  |  |
| DP ID   | $\frac{\text{est you to der}}{1}$  | 0        | $\frac{12e}{2}$ | <u>encio</u><br>1 | 7                                  |          |           |        | lient    |         | <u>y / Ou</u> | I nai |          | my /    | our a  |    |  |  |
| First/Sole Holder Name  |  |          |                 |                   | 1 .                                |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Second Holder Name  |  |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Third Holder N  | lame   |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Name of the C   | ompany   |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| ISIN  |  | Ι        |                 | N                 |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Quantity to be  | Quantity to be   |          | igures          |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Dematerialized  | 1  | In W     | Vords           |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Number of Cer   | tificates (In  | Words    | )               |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Nature of Secu  | rities   |          |                 |                   | Free Securities Lock-In-Securities |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Lock in Expiry Date   |  |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Lock in Expiry  | Dute   |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Details of Sec  |  |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| 1 0   | urities  |          | Equity          | y                 | Deb                                | enture   | s         | Во     | onds     | ι       | Jnits         |       | Oth      | er (S   | pecif  | y) |  |  |
| Details of Sec  | <b>turities</b><br>ity   |          | Equity          | y                 | Deb                                | enture   | S         | Во     | onds     | ι       | Jnits         |       | Oth      | er (S   | pecif  | y) |  |  |
| Details of Secur  | <b>turities</b><br>ity   |          | Equity          | y                 | Deb                                | enture   | s         | Во     | onds     | J       | Jnits         |       | Oth      | er (S   | pecif  | y) |  |  |
| Details of Secur<br>Type of Secur<br>Face Value of<br>Folio No.<br>Certificate  | eurities<br>ity<br>Securities<br>From  |          | Equity          | y                 | Deb                                | enture   | s         | Во     | onds     | τ       | Jnits         |       | Oth      | er (S   | pecif  | y) |  |  |
| Details of Secur<br>Type of Secur<br>Face Value of<br>Folio No.   | eurities<br>ity<br>Securities<br>From<br>To  |          | Equity          | y                 | Deb                                | enture   | 8         | Во     | onds     |         | Jnits         |       | Oth      | er (S   | pecif  | y) |  |  |
| Details of Secur       Type of Secur       Face Value of       Folio No.       Certificate       Numbers       Distinctive  | securities<br>Securities<br>From<br>To<br>From   |          | Equity          | ý                 | Deb                                | oenture  | s         | Во     | onds     |         | Jnits         |       | Oth      | er (S   | pecif  | y) |  |  |
| Details of Secur<br>Type of Secur<br>Face Value of<br>Folio No.<br>Certificate<br>Numbers   | eurities<br>ity<br>Securities<br>From<br>To  |          | Equity          | ý                 | Deb                                | enture   | S         | Во     | onds     |         | Jnits         |       | Oth      | er (S   | pecif  | y) |  |  |
| Details of SecurType of SecurFace Value ofFolio No.CertificateNumbersDistinctiveNumbersQuantity   | eurities<br>ity<br>Securities<br>From<br>To<br>From<br>To  |          |                 |                   |                                    |          |           |        |          |         |               |       |          |         |        |    |  |  |
| Details of SecurType of SecurFace Value ofFolio No.CertificateNumbersDistinctiveNumbersQuantity(Attach an   | eurities<br>ity<br>Securities<br>From<br>To<br>From<br>To<br>annexure (I                                     | Duly sig | gned b          | by ac             | count                              | holder   | s) in th  | ne abo | ove for  | nat if  | the s         |       | e is not | t suffi | cient  | .) |  |  |
| Details of Secur     Type of Secur     Face Value of     Folio No.     Certificate     Numbers     Distinctive     Numbers     Quantity     (Attach an     The origin | surities<br>ity<br>Securities<br>From<br>To<br>From<br>To<br>annexure (I<br>al certificate                   | Duly sig | gned b<br>ument | by ac             | ccount<br>hereb                    | holder   | s) in the | ne abo | ove form | nat if  | the s         | ializ | e is not | t suffi | cient  | .) |  |  |
| Details of SecurType of SecurFace Value ofFolio No.CertificateNumbersDistinctiveNumbersQuantity(Attach an   | securities<br>ity<br>Securities<br>From<br>To<br>From<br>To<br>annexure (I<br>al certificate<br>harge or enc | Duly sig | gned b<br>ument | by ac             | ccount<br>hereb                    | holder   | s) in the | ne abo | ove form | nat if  | the s         | ializ | e is not | t suffi | cient  | .) |  |  |

|                           | First / Sole Holder | Second Holder | Third Holder |
|---------------------------|---------------------|---------------|--------------|
| Name                      |                     |               |              |
| Signature with <b>DP</b>  |                     |               |              |
| Signature with <b>RTA</b> |                     |               |              |

## Participant Authorization (From DP to RTA)

We have received the above mentioned securities bearing ISIN\_\_\_\_\_\_\_ for dematerialization. The application form is verified with the Certificates / Documents surrendered for dematerialization and we certify that the application form is in accordance with the details mentioned in the enclosed Certificates / Documents. It is also certifies that the Holder(s) of securities have a beneficiary account with us in the same name(s) and order of name(s).

## **Instructions:**

 In case of Transmission -cum-Demat, a notarized copy of Death certificate of the Deceased holder. Copy of the order of the court etc. To be attached with DRF.
In case of Transposition-cum-Demat, a duly executed transpositions request form to be attached with DRF

## For B D Shah Securities Ltd.

(Authorised Signatory)

## 

Acknowledgment Receipt

| DRF No.                         |   |   |    | DRN   | ٧  |         |    |        | Dat  |        |   |      |        |        |  |  |  |
|---------------------------------|---|---|----|-------|----|---------|----|--------|------|--------|---|------|--------|--------|--|--|--|
| DP ID                           | 1 | 2 | 0  | 2     | 1  | 7       | 0  | 0      |      | Client |   |      |        |        |  |  |  |
| First / Sole Holder Name        |   |   |    |       |    |         |    |        |      |        |   |      |        |        |  |  |  |
| Second Joint Holder Name        |   |   |    |       |    |         |    |        |      |        |   |      |        |        |  |  |  |
| Third Joint Holder Name         |   |   |    |       |    |         |    |        |      |        |   |      |        |        |  |  |  |
| Name of the Company             |   |   |    |       |    |         |    |        |      |        |   |      |        |        |  |  |  |
| Type of Security                |   |   | Ec | luity | De | benture | es | В      | onds | Units  | 5 | Othe | er Spe | cify)_ |  |  |  |
| ISIN                            |   |   |    |       |    |         |    |        |      |        |   |      |        |        |  |  |  |
| No.of Securities (In figures)   |   |   |    |       |    |         |    | In Wor | ds   |        |   |      |        |        |  |  |  |
| No.of Certificates (In figures) |   |   |    |       |    | In Wor  | ds |        |      |        |   |      |        |        |  |  |  |

We hereby acknowledge the receipt of Certificates / Documents, In respect of the following securities for dematerialization subject to verification.

For B. D. Shah Securities Ltd.

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Authorised Signatory